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Dated: August 5, 2005

Signature:

(Jeffrey S. Dickey)

Docket No.: SONY 3.0-022
(PATENT)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Ludtke et al.

Application No.: 10/014,960

Group Art Unit: 3621

Filed: December 11, 2001

Examiner: J. M. Winter

For: METHOD OR SYSTEM FOR EXECUTING
DEFERRED TRANSACTIONS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

It is respectfully requested that the references listed on the enclosed form be made of record and considered with respect to the above-referenced U.S. patent application. Submission of the present Information Disclosure Statement should not be taken as an admission that the cited references are legally available prior art or that the same are pertinent or material.

In the event that any fee is due in connection with the present Information Disclosure Statement, the Commissioner is hereby authorized to charge the same to our Deposit Account No. 12-1095.

Dated: August 5, 2005

Respectfully submitted,

By _____

Jeffrey S. Dickey

Registration No.: 35,858

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Attorney for Applicant



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CERTIFICATION PURSUANT TO 37 C.F.R. § 1.97(e) (2)

Dear Sir:

Pursuant to 37 C.F.R. § 1.97(e) (2), undersigned counsel hereby certifies that no item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application to the above-referenced patent application, and, to the knowledge of undersigned counsel, after making reasonable inquiry, no such item of information was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the accompanying Information Disclosure Statement.

Dated: August 5, 2005

Respectfully submitted,

By

Jeffrey S. Dickey

Registration No.: 35,858

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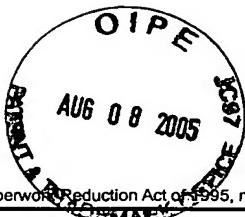
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Substitute for form 1449A/B/PTO				Complete if Known	
				Application Number	10/014,960
				Filing Date	December 11, 2001
				First Named Inventor	Harold A. Ludtke
				Art Unit	3621
				Examiner Name	J. M. Winter
Sheet	1	of	1	Attorney Docket Number	SONY 3.0-022

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
AA*	US-5,594,230	01-14-1997	Waite et al.		
AB*	US-5,598,474	01-28-1997	Johnson		
AC*	US-6,014,636	01-11-2000	Reeder		
AD*	US-6,289,323	09-11-2001	Gordon et al.		
AE*	US-6,314,196	11-06-2001	Yamaguchi et al.		
AF*	US-6,353,811	03-05-2002	Weissman		

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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NON PATENT LITERATURE DOCUMENTS					
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